IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert Miller et al. : Date: July 24, 2003

Group Art Unit: Unassigned : IBM Corporation

Examiner: Unassigned : Intellectual Property Law

Serial No.: Unassigned : Dept. 917, Bldg. 006-1

Filed: Herewith : 3605 Highway 52 North

Title: JOIN PROTOCOL FOR A PRIMARY-BACKUP : Rochester, MN 55901-7829

GROUP WITH BACKUP RESOURCES IN CLUSTERED COMPUTER SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned

Docket No. ROC920030146US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: <u>July 24, 2003</u>

Steven W. Roth, Consulting Attorney

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	ОТН	IER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T²
	_	Patent Application entitled "Primary-Backup Group With Backup Resources Failover Handler," filed on even date herewith by Michael J. McDermott et al.	
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Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional).

² Applicant is to place a check mark here if English language translation is attached.

Application Number.:

First Named Inventor:

Attorney Docket Number.:

Examiner Name:

Filing Date:

Art Unit:

Unassigned

Robert Miller Unassigned

ROC920030146US1

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Sheet _1_ of _1_

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT